

JFW

PTO/SB/21 (09-04)

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/803,444        |
| Filing Date            | March 17, 2004    |
| First Named Inventor   | Goldfarb, Eric A. |
| Art Unit               | 3731              |
| Examiner Name          | Julian W. Woo     |
| Attorney Docket Number | 020489-000410US   |

### ENCLOSURES (Check all that apply)

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Supplemental Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Return Postcard<br>Supplemental Information Disclosure Statement and PTO/SB/08A<br>0 Reference Copies |
|--|---|--|

Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|              |                                    |          |        |
|--------------|------------------------------------|----------|--------|
| Firm Name    | Townsend and Townsend and Crew LLP |          |        |
| Signature    |                                    |          |        |
| Printed name | James M. Heslin                    |          |        |
| Date         | 1/27/06                            | Reg. No. | 29,541 |

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

|                       |                     |      |         |
|-----------------------|---------------------|------|---------|
| Signature             |                     |      |         |
| Typed or printed name | Anthony J. Marshall | Date | 1/30/06 |

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

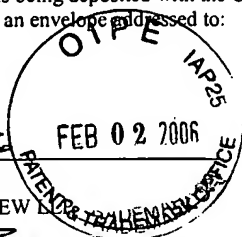
On

TOWNSEND and TOWNSEND and CREW

By:

Anthony J. Marshall

PATENT  
Attorney Docket No.: 020489-000410US



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

ERIC A. GOLDFARB, et al.

Application No.: 10/803,444

Filed: March 17, 2004

For: METHODS AND DEVICES FOR  
CAPTURING AND FIXING LEAFLETS  
IN VALVE REPAIR

Examiner: Julian W. Woo

Art Unit: 3731

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

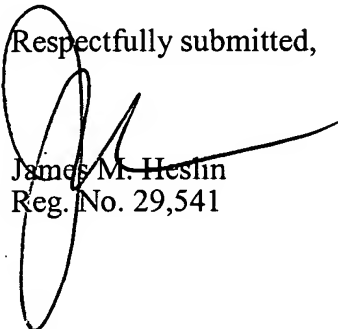
The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 10/613,443, filed July 3, 2003 (Attorney Docket No. 020489-000120US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

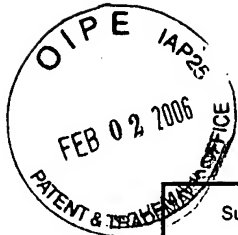
representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing of an office action, therefore, the applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
James M. Heslin  
Reg. No. 29,541

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834  
Tel: 650-326-2400  
Fax: 650-326-2422  
JMH:ajm  
60688100 v1



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

2

**Complete if Known**

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/803,444        |
| Filing Date            | March 17, 2004    |
| First Named Inventor   | Goldfarb, Eric A. |
| Art Unit               | 3731              |
| Examiner Name          | Julian W. Woo     |
| Attorney Docket Number | 020489-000410US   |

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
|                       |                          | Number Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       | AA                       | US-5,741,280                             | 04-29-1998                     | Fleenor  |   |
|                       | AB                       | US-5,916,147                             | 06-29-1999                     | Boury  |   |
|                       | AC                       | US-6,562,037                             | 02-12-1998                     | Paton et al.                                       |   |
|                       | AD                       | US-6,746,471                             | 06-08-2004                     | Mortier et al.                                     |   |
|                       | AE                       | US-6,797,002                             | 09-28-2004                     | Spence et al.                                      |   |
|                       | AF                       | US-6,875,224                             | 04-05-2005                     | Grimes   |   |
|                       | AG                       | US-6,945,978                             | 09-20-2005                     | Hyde   |   |
|                       | AH                       | US-6,949,122                             | 09-27-2005                     | Adams et al.                                       |   |
|                       | AI                       | US-2003/0120341 A1                       | 6-26-2003                      | Shennib et al.                                     |   |
|                       | AJ                       | US-2003/0187467 A1                       | 10-02-2003                     | Schreck  |   |
|                       | AK                       | US-2003/0233038 A1                       | 12-18-2003                     | Hassett  |   |
|                       | AL                       | US-2004/0024414 A1                       | 02-05-2004                     | Downing  |   |
|                       | AM                       | US-2004/0111099                          | 06-10-2004                     | Nguyen et al.                                      |   |
|                       | AN                       | US-2004/0133192                          | 07-08-2004                     | Houser et al.                                      |   |
|                       | AO                       | US-2004/0220593                          | 11-04-2004                     | Greenhalgh   |   |
|                       | AP                       | US-2004/0236354                          | 11-25-2004                     | Seguin   |   |
|                       | AQ                       | US-2005/0004583                          | 01-06-2005                     | Oz et al.  |   |
|                       | AR                       | US-2005/0038508                          | 02-17-2005                     | Gabbay   |   |
|                       | AS                       | US-2005/0049698                          | 03-03-2005                     | Bolling et al.                                     |   |
|                       | AT                       | US-2005/0055089                          | 03-10-2005                     | Macoviak et al.                                    |   |
|                       | AU                       | US-2005/0059351                          | 03-17-2005                     | Cauwels et al.                                     |   |
|                       | AV                       | US-2005/0159810                          | 07-21-2005                     | Filsoufi   |   |
|                       | AW                       | US-2005/0197695                          | 09-08-2005                     | Stacchino et al.                                   |   |
|                       | AX                       | US-2005/0216039                          | 09-29-2005                     | Lederman   |   |
|                       | AY                       | US-2005/0228422                          | 10-13-2005                     | Machold et al.                                     |   |
|                       | AZ                       | US-2005/0228495                          | 10-12-2005                     | Macoviak   |   |
|                       | BA                       | US-2005/0251001                          | 11-10-2005                     | Hassett  |   |
|                       | BB                       | US-2005/0267493                          | 12-01-2005                     | Schreck et al.                                     |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document   |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup>           |
|-----------------------|--------------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|--|---|--------------------------|
|                       |                          | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if<br>known) |                                |  |   |                          |
|                       | BC                       | WO                        | 00/59382            | A1                                   | 10-12-2003                     | Bjerken  |   | <input type="checkbox"/> |

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

|   |   |    |   |                          |                   |
|---|---|----|---|--------------------------|-------------------|
| Substitute for form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |   |    |   | <b>Complete if Known</b> |                   |
|   |   |    |   | Application Number       | 10/803,444        |
|   |   |    |   | Filing Date              | March 17, 2004    |
|   |   |    |   | First Named Inventor     | Goldfarb, Eric A. |
|   |   |    |   | Art Unit                 | 3731              |
|   |   |    |   | Examiner Name            | Julian W. Woo     |
| Sheet   | 2 | of | 2 | Attorney Docket Number   | 020489-000410US   |

| FOREIGN PATENT DOCUMENTS |                          |                           |                     |                                      |                                |  |   |                          |
|--------------------------|--------------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|--|---|--------------------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup>           |
|                          |                          | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if<br>known) |                                |  |   |                          |
|                          | BD                       | WO                        | 03/105667           | A3                                   | 12-24-2003                     | Tremulis et al                                     |   | <input type="checkbox"/> |
|                          | BE                       | WO                        | 04/045378           | A2                                   | 06-03-2004                     | Lederman   |   | <input type="checkbox"/> |
|                          | BF                       | WO                        | 04/082523           | A2                                   | 09-30-2004                     | Zarbatany et al                                    |   | <input type="checkbox"/> |
|                          | BG                       | WO                        | 04/082538           | A2                                   | 03-17-2004                     | Hindrichs et al                                    |   | <input type="checkbox"/> |
|                          | BH                       | WO                        | 05/027797           | A1                                   | 03-31-2005                     | Erek   |   | <input type="checkbox"/> |
|                          | BI                       | WO                        | 05/032421           | A2                                   | 04-14-2005                     | Bloom et al  |   | <input type="checkbox"/> |
|                          | BJ                       | WO                        | 05/062931           | A2                                   | 07-14-2005                     | Spence et al                                       |   | <input type="checkbox"/> |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.